## Mortality

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## Outline

- Health and mortality transition
- Poston, Bouvier 2017, Chapter 7, pp. 163-214
- Weeks 2015, Chapter 5, pp. 139-188
- Period mortality
- Wachter 2014, Chapter 7, pp. 153-173
- Weeks 2015, Chapter 5, pp. 170-180
- Kintner 2003


## Health and mortality transition

 (Weeks 2015, Chapter 5, pp. 139-188)- Defining the health and mortality transition
- Health and mortality changes over time
- Life span and longevity
- Disease and death over the life cycle
- Causes of poor health and death
- Health and mortality inequalities


## Morbidity and mortality

- Health, death: two sides of morbidity, mortality
- Morbidity: prevalence of disease in a population
- Mortality: pattern of death
- Health and mortality transition
- Epidemiologic transition (Omran 1971)
- Shift from prevailing poor health (high morbidity) and high death rates (high mortality) primarily from communicable diseases, occurring especially among the young...
- To prevailing good health and low deaths rates from infectious diseases, with most people dying at older ages from degenerative diseases


## Death at older ages

- For virtually all of human history, early death was commonplace
- Beginning about 200 years ago, we have been steadily pushing death to older ages
- The survival of more people to ever older ages is a key contribution to the demographic transition
- Most people now survive to advanced ages and die pretty quickly
- The variability by age in mortality is compressed, leading to an increased rectangularization of mortality...


## Rectangularization of mortality, United States



## Changes over time

- For most of history, life expectancy fluctuated between 20 and 30 years
- About $2 / 3$ of babies survived to their first birthday, and about $1 / 2$ were still alive at age five
- Now it's 99\%
- Around $10 \%$ of people made it to age 65 in a premodern society
- Now it's 90\%


## Female life expectancy at birth



## Health improvements

| Period and regions | Life expectancy (females) | \% surviving to age |  |  |  | Births required for ZPG |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  | 1 | 5 | 25 | 65 |  |
| Premodern | 20 | 63 | 47 | 34 | 8 | 6.1 |
|  | 30 | 74 | 61 | 50 | 17 | 4.2 |
| US/Europe, late $18^{\text {th }} /$ early $19^{\text {th }}$ | 40 | 82 | 73 | 63 | 29 | 3.3 |
| Lowest Sub-Saharan | 46 | 89 | 82 | 75 | 34 | 2.7 |
| World average circa 2015 | 73 | 98 | 98 | 97 | 77 | 2.1 |
| Mexico | 78 | 99 | 99 | 98 | 84 | 2.1 |
| United States | 81 | 99 | 99 | 99 | 88 | 2.1 |
| Canada | 84 | 99 | 99 | 99 | 91 | 2.1 |
| Japan (highest in the world) | 86 | 99 | 99 | 99 | 93 | 2.1 |

## The Roman era

- Life expectancy in the Roman era is estimated to have been 22 years
- People who reached adulthood were not too likely to reach a very advanced age, although of course some did


## The Middle Ages

- The plague (black death) hit Europe in the $14^{\text {th }}$ century, having spread west from Asia
- An estimated $1 / 3$ of the population of Europe may have perished from the disease between 1346 and 1350
- It appears to be the same disease that exists today
- Not really known why it was so fatal back then
- Probably due to generally poor health and few resources to battle the disease


## The Columbian exchange

- Columbus and other European explorers took diseases, horses, and guns to the Americas
- Brought back new foods and few new diseases
- One explanation for relative ease with which Spain dominated Latin America after arriving around 1500
- Explorers had immunity to the diseases they brought
- Compared to the devastation the diseases affected indigenous populations


## Industrial Revolution, 1760-1840

- Plague and Little Ice Age had receded
- Income improved nutrition, housing, and sanitation
- Life expectancy in Europe and the U.S.: $\approx 40$ years
- Was population growth a cause or effect of rising living standards?
- There were as many deaths to children under 5 as there were at 65 and over
- Infectious diseases were still the dominant reasons for death, but their ability to kill was diminishing


## $19^{\text {th }}$ century

- Key elements in postponing death
- Belief in the power of human intervention (Western science)
- Improved nutrition: occurred first in Western Europe
- Clean water, toilets, bathing facilities
- Sewerage in cities: sanitation studies in Liverpool
- Small pox vaccinations: Edward Jenner in England
- Validation of germ theory
- Ignaz Semmelweis in Vienna: pioneer of antiseptic procedures
- Joseph Lister in Glasgow: cleanliness principals in surgery
- Louis Pasteur in Paris: formal experiments about germs, $\quad$ diseases


## $20^{\text {th }}$ century

- Health as a social movement
- Leading to government-organized universal health care systems in all rich countries except the U.S.
- Antibiotics emerging around WWII
- More vaccinations
- Oral rehydration therapy for infants and adults
- Advanced diagnoses, drugs, and other treatments for degenerative diseases to keep older people alive longer


## Improvements in life expectancy



## World War II: a turning point



## Postponing death

- Two ways to postpone death to the oldest possible ages
- Prevent diseases from occurring or spreading when they do occur (prevention)
- Vaccinations, clean water, sanitation, good nutrition
- No physicians needed
- Curing people of disease when they are sick
- Diagnostic technology, drugs, skilled physicians


## Nutrition transition and obesity

- Poor were skinny because only the rich could afford to access enough quantities of food
- Not any more
- Nutrition transition is a worldwide shift toward
- Diet high in fat and processed foods
- Diet low in fiber
- Less exercise
- Increases in degenerative diseases


## Life span and longevity

- Life span: oldest age to which human beings can survive
- 122?
- It is almost entirely a biological phenomenon
- Longevity/life expectancy: age at which we actually die
- Expected number of years to be lived, on average, by a particular population at a particular time
- Currently about 71 for all humans
- It has biological and social components
- Populations with high mortality tend to have high morbidity
- This is not a one-to-one relationship
- We may live longer even though not being very healthy

Figure 7.6. Life Expectancy at Birth: World and More developed, Less developed, and Least developed regions, 1950 to 2100


Source: United Nations, 2013d: 16.

## Mortality by age

- Humans are like most other animals with respect to the general pattern of death by age
- The very young and the old are most vulnerable
- Young adults are least likely to die
- Risks of death are relatively low
- After the initial year of life, lasting at least until middle age
- Corresponds to reproductive ages
- Beyond middle age
- Mortality increases
- Although at a decelerating rate


## Highest death rates, 2011: very young and the old



## Mortality by sex (gender)

- Women (sex)
- Have a lower probability of death at every age from the moment of conception'
- Women (gender)
- Unless society intervenes with a lower status for women that gives them less food, less access to health care, less education, lower earnings...


## Other mortality differentials

- Urban and rural differentials
- Urban now better than rural
- Neighborhood inequalities
- Slums are bad for your health
- Educational differentials
- Better educated live longer
- Social status differentials
- The rich live longer
- Race and ethnicity differentials
- Being different will be used against you
- Marital status
- Being married is good for your health


## Infant mortality

- The infant mortality rate (IMR) is the most common measure of infant death
- It is the number of deaths in a year to children under age 1 per 1,000 babies born in the year
- Declining infant mortality is key to population growth
- Reduction attributable especially to the development of oral rehydration therapy (ORT)
- A solution of salts and sugars taken orally
- Treats diarrhea: A major cause of death in young children
- Developed in labs, tested in the field, especially Bangladesh
- One of its founders still holds a teaching position at Harvard School of Public Health (Dr. Richard Cash)


## Infant mortality, 2015



Table 7.4. Countries with the Highest and the Lowest Infant Mortality Rates in the World, 2013

| Highest infant mortality rates | Lowest infant mortality rates |  |  |
| :--- | :--- | :--- | :--- |
| Central African Republic | 116 | Iceland | 1.8 |
| Congo, Dem. Rep. | 109 | Finland | 1.8 |
| Chad | 96 | Japan | 1.9 |
| Angola | 96 | Singapore | 2.0 |
| Guinea-Bissau | 94 | Estonia | 2.1 |
| Sierra Leone | 92 | Sweden |  |

Source: Population Reference Bureau, 2014.

## Neonatal and postneonatal mortality rates

- The infant mortality rate (IMR) may be thought of as the sum of two rates
- Neonatal mortality rate (NMR)
- Deaths of babies aged 28 days or less per 1,000 live births
- Postneonatal mortality rate (PMR)
- Deaths of babies aged between 29 days and 1 year per 1,000 live births


## Neonatal mortality rates and number of neonatal deaths

| Region | Neonatal mortality rate (deaths per 1,000 live births) |  |  | Number of neonatal deaths (thousands) |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
|  | 1990 | 2013 | $\begin{gathered} \text { Decline } \\ \text { (percent) } \\ 1990-2013 \end{gathered}$ | 1990 | 2013 |
| Developed regions | 8 | 3 | 55 | 118 | 48 |
| Developing regions | 36 | 22 | 40 | 4,554 | 2,714 |
| Northern Africa | 30 | 13 | 56 | 109 | 53 |
| Sub-Saharan Africa | 46 | 31 | 32 | 977 | 1,066 |
| Latin America and the Caribbean | 22 | 9 | 58 | 255 | 101 |
| Caucasus and Central Asia | 26 | 15 | 42 | 51 | 26 |
| Eastern Asia | 25 | 8 | 69 | 784 | 150 |
| Excluding China | 12 | 8 | 35 | 11 | 7 |
| Southern Asia | 51 | 30 | 42 | 1,940 | 1,086 |
| Excluding India | 49 | 30 | 39 | 578 | 338 |
| South-eastern Asia | 27 | 14 | 47 | 321 | 160 |
| Western Asia | 28 | 14 | 50 | 111 | 67 |
| Oceania | 26 | 21 | 19 | 5 | 6 |
| World | 33 | 20 | 40 | 4,672 | 2,763 |

## Endogenous and exogenous

- Endogenous cause of death in an infant can occur because of genetic issues or conditions associated with fetal development or the birth process
- Exogenous cause of death is due mainly to environmental or external factors, such as infections or accidents


## Causes of neonatal deaths

- The main causes of neonatal deaths are endogenous conditions
- Congenital malformations, chromosomal abnormalities, complications of delivery, low birthweight, genetic disorders...
- However, endogenous causes dominate infant mortality mainly in the early days of life, and not for the entire first month of life


## Causes of postneonatal deaths

- Postneonatal mortality rate was 18 per 1,000 live births for the world in 2013
- Rate was 2 in developed countries
- Deaths in postneonatal period and in first few years of life are mainly due to exogenous causes
- Infectious disease, accidents, injury
- Improved living standards, better healthcare, and public health programs have greater effects on exogenous causes then on endogenous causes


## Stillbirth rate (SBR)

- Stillbirths (miscarriages, fetal deaths)
- A stillbirth is a fetus not born alive and is not registered as a death
- SBR: stillbirths per 1,000 live births plus stillbirths in the year
- Stillbirths are often identified in hospital reports dealing with obstetric procedures
- WHO: interventions can be planned if we know at what point before birth the fetus died
- 2.6 million stillbirths in the world in 2009
- 18.9 stillbirths per 1,000 live births plus stillbirths


## Perinatal mortality rate (PeMR)

- PeMR relates to stillbirths and deaths of babies who lived for only seven days or less per 1,000 live births plus stillbirths in the year
- Endogenous causes of mortality in the 1st week after birth are similar to the causes of stillbirths
- PeMR in 2010
- World (47)
- Developed world (10); less developed world (50)
- Czech Republic and Singapore (4); Mauritania (111)
- U.S.: 6.5 in 2006; 6.3 in 2011


## Maternal mortality ratio (MMR)

- MMR measures the extent to which mothers die immediately before, during, or after giving birth because of problems associated with the pregnancy or childbirth
- Numerator: number of deaths in a year of women dying as a result of complications of pregnancy, childbirth, and the puerperium (condition of the woman immediately following childbirth)
- Denominator: live births occurring in the year
- Multiplied by 100,000, because it is increasingly rare in developed countries


## Examples of maternal deaths

- World
- 529,000 maternal deaths in 2000
- 313,000 maternal deaths in 2015
- Developing regions in 2015
- 99\% of all maternal deaths
- 66\% in sub-Saharan Africa
$-21 \%$ in southern Asia
- MMR in 2015
- World: 216 per 100,000 live births
- Sierra Leone: 1,360
- Sub-Saharan Africa: 546
- U.S., Iran, Hungary: 21
- Greece, Singapore (3); Estonia (2)


## Factors associated with MMR

- Maternal deaths are mostly due to age, parity (number of children), birth spacing
- Younger and older women are more likely, compared to women in their 20s and 30s
- High-parity women are at high risk
- Women with short birth intervals are also at high risk
- Other factors
- Chronic disease and malnutrition, poverty, unwanted pregnancies, inadequate prenatal and obstetric care, lack of access to a hospital


## Causes of poor health and death

- Categories of diseases according to the World Health Organization
- Communicable diseases
- Noncommunicable diseases
- Injuries


## Communicable diseases

- Bacterial (e.g., tuberculosis)
- Viral (e.g., measles)
- Protozoan (e.g., malaria)
- Maternal conditions
- Lack of prenatal care
- Delivering somewhere besides a hospital
- Seeking an unsafe abortion
- Perinatal conditions
- "Surrounding birth" - just before and just after birth
- Nutritional deficiencies

| Cause of death | Broad category of cause | Number of deaths in world 2011 (millions) | Top ten death rates (per 100,000 population), 2011 |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | High income countries | Upper middle income countries | Lower middle income countries | Low income countries |
| Ischemic heart disease | Non-Com. | 7.0 | 119 | 120 | 93 | 47 |
| Stroke | Non-Com. | 6.2 | 69 | 126 | 75 | 56 |
| Lower respiratory infection | Com. | 3.2 | 32 | 22 | 60 | 98 |
| Chronic obstructive pulmonary disease (COPD) | Non-Com. | 3.0 | 32 | 45 | 51 |  |
| Diarheal diseases | Com. | 1.9 |  |  | 47 | 69 |
| HIV/AIDS | Com. | 1.6 |  |  | 24 | 70 |
| Tranchea bronchus, lung cancers | Non-Com. | 1.5 | 51 | 28 |  |  |
| Diabetes mellitus | Non-Com. | 1.4 | 21 | 20 | 20 |  |
| Road injury | Injury | 1.3 |  | 21 | 19 |  |
| Prematurity | Com. | 1.2 |  |  | 27 | 43 |
| Alzheimer's disease and other dementias | Non-Com. |  | 48 |  |  |  |
| Colon rectal cancers | Non-Com. |  | 27 |  |  |  |
| Hypertensive heart disease | Non-Com. |  | 20 | 18 |  |  |
| Breast cancer | Non-Com. |  | 16 |  |  |  |
| Malaria | Com. |  |  |  |  | 38 |
| Tuberculosis | Com. |  |  |  | 22 | 32 |
| Protein-energy malnutrition | Com. |  |  |  |  | 32 |
| Birth asphyxia and birth trauma | Com. |  |  |  |  | 30 |
| Liver cancer | Non-Com. |  |  | 19 |  |  |
| Stomach cancer | Non-Com. |  |  | 18 |  |  |
| Life expectancy at birth (both sexes) |  |  | 80 | 74 | 66 | 60 |

The 10 leading causes of death in the world 2012


Source: World Health Organization. Available at:
http://www.who.int/mediacentre/factsheets/fs310/en/ (accessed April 29, 2016)

## Adults and children estimated to be living with HIV, 2013

Eastern Europe \& Central Asia 1.1 million<br>[980 000-1.3 million]

Middle East \& North Africa

Caribbean 250000
[230 000-280 000]
Latin America 1.6 million
[1.4 million-2.1 million]

230000
[160 000-330 000]

Sub-Saharan Africa 24.7 million
[23.5 million - 26.1 million]

Asia and the Pacific 4.8 million
[ 4.1 million -5.5 million]

Total: 35.0 million
95\% confidence interval: [33.2 million - 37.2 million]

## Top ten causes of death, 2008

## Low-income countries



Middle-income countries


High-income countries


## "Real causes" of death in low-mortality societies

- Tobacco
- Diet and activity patterns
- Alcohol misuse
- Infectious diseases
- Toxic agents
- Motor vehicles
- Guns
- Sex
- Drugs


## Mortality in the United States

- Mortality started dropping gradually
- In response to changes in the socioeconomic conditions and the environment of modernization
- Much of the mortality reduction started to happen before the initiations of any appreciable public health measures
- Life expectancy increased
- 46 for males and 48 for females in 1900
- 76 for males and 81 for females in 2013


## Mortality in the United States

- Most improvements happened from 1900 to 1950
- Germ theory: control of infectious and parasitic diseases
- Boiling bottles and milk, washing hands, protecting food from flies, isolating sick children, ventilating rooms, improving water supply, sewage disposal
- Since 1950s, life expectancy improvements is due to prevention and control of chronic diseases
- Heart disease, stroke...


## Hispanic paradox

- The Hispanic epidemiological paradox is the empirical finding that Hispanics have death rates of about the same magnitude as, and sometimes lower than, whites
- Also known as the Latino mortality paradox
- These findings are more evident for those of Mexican origin


## Life expectancy at birth by race/ethnicity: U.S., 2006-2011



SOURCE: CDC/NCHS, National Vital Statistics System, Mortality.

## Explaining the Hispanic paradox

- Data artifacts
- Underreporting of Hispanic-origin identification on death certificates
- Misstatement of age, perhaps overstatement, at the older ages
- Migration effects
- Healthy migrant effect: self-selection of immigrants in better physical and mental health
- Salmon bias: Mexican Americans in poor health return to Mexico at old ages (return migrant effect)
- Cultural effects
- Better dietary practices of Latinos and stronger family obligations and relationships


## Racial crossover

- Life expectancy at birth is the lowest for blacks compared with Hispanics and whites
- For most of their lives, blacks have higher death rates than Hispanics and whites
- The situation changes at the very oldest ages
- By late life, death rates for blacks become lower than those for whites, and in some cases lower than those for Hispanics


## Life expectancy at ages 70, 80, 90, and 100 by race/ethnicity and sex: United States, 2010

|  | Hispanics |  | NH-Whites |  | NH-Blacks |  |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| Age | Males | Females | Males | Females | Males | Females |
| 70 | 15.4 | 18.0 | 14.2 | 16.4 | 12.8 | 15.7 |
| 80 | 9.0 | 10.8 | 8.1 | 9.6 | 7.8 | 9.6 |
| 90 | 4.5 | 5.4 | 4.0 | 4.8 | 4.4 | 5.2 |
| 100 | 2.3 | 2.6 | 2.0 | 2.3 | 2.5 | 2.8 |

## Explaining the racial crossover

- Age misreporting on death certificates
- Overstatement of age
- But this would only postpone crossover to later ages, not eliminating it
- Population heterogeneity in frailty
- The surviving elderly black population is a more robust group of disadvantaged individuals
- The more frail blacks die before the age of 80 or 90
- This produces a more robust group of blacks that live longer than the majority


## Percent distribution of five leading causes of death by age group: United States, 2011

Aged 1-24 years
Number of deaths $=39,213$


Aged 45-64 years
Number of deaths $=505,730$

$\square$ Cancer (32\%)
$\square$ Heart disease (21\%)
$\square$ Accidents (7\%)
$\square$
Chronic lower respiratory diseases (4\%)


Chronic liver disease and cirrhosis (4\%)
$\square$ All other causes (32\%)

Aged 25-44 years
Number of deaths $=113,341$


Aged 65 and over
Number of deaths $=1,830,553$


Heart disease (26\%)
Cancer (22\%)
Chronic lower respiratory diseases (7\%)
Stroke (6\%)
$\square$ Alzheimer's disease (5\%)
$\square$ All other causes (34\%)

# Infant mortality rates by mother's race/ethnicity: United States, 2000-2010 



SOURCE: CDCNCHS, National Meal Statistos Syatom.

## Long-term impact of mortality transition



# Period mortality 

(Wachter 2014, Chapter 7, pp. 153-173)
(Weeks 2015, Chapter 5, pp. 170-180) (Kintner 2003)

- Measuring period mortality
- Standardization
- Period life tables
- Model life tables


## Measuring period mortality

- Crude death rate (CDR) is the total number of deaths in a year divided by the average total population

$$
C D R=d / p * 1,000
$$

- Age/sex-specific death rate ( $n M x$ or $A S D R$ )

$$
{ }_{n} M_{x}={ }_{n} d_{x} /{ }_{n} p_{x} * 100,000
$$

## Standardization

- Compare crude death rates for different years or regions
- Need to adjust for differences in age structure
- Estimate age-adjusted death rates (AADR) and apply to a standard population

$$
\text { AADR }=\Sigma_{n} w s_{x} *{ }_{n} M_{x}
$$

$-{ }_{n} w s_{x}$ : standard weight representing this age group's proportion in the total population
$-{ }_{n} M_{x}$ : age-specific death rate

- This formula is the same as Formula 7.4 in the textbook (Poston, Bouvier, 2017: p.171)


# Example of standardization (1/4) 

| Deaths |  |  |
| :---: | :---: | :---: |
| Age group | PE | RS |
| $0-4$ | 3,777 | 2,342 |
| $5-9$ | 244 | 206 |
| $10-14$ | 324 | 297 |
| $15-19$ | 1,292 | 846 |
| $20-24$ | 1,784 | 1,258 |
| $25-29$ | 1,723 | 1,256 |
| $30-34$ | 1,572 | 1,351 |
| $35-39$ | 1,649 | 1,802 |
| $40-44$ | 2,056 | 2,418 |
| $45-49$ | 2,172 | 3,331 |
| $50-54$ | 2,663 | 4,136 |
| $55-59$ | 3,037 | 4,907 |
| $60-64$ | 3,402 | 5,631 |
| $65-69$ | 4,325 | 7,055 |
| $70-74$ | 4,651 | 8,065 |
| $75-79$ | 5,308 | 8,661 |
| $80+$ | 12,219 | 17,621 |
| Total | 52,198 | 71,183 |


| Population |  |  |
| :---: | :---: | :---: |
| Age group | PE | RS |
| $0-4$ | 847,364 | 913,339 |
| $5-9$ | 850,579 | 945,206 |
| $10-14$ | 916,926 | 970,575 |
| $15-19$ | 934,602 | $1,029,218$ |
| $20-24$ | 819,853 | 914,423 |
| $25-29$ | 685,373 | 820,035 |
| $30-34$ | 616,696 | 837,181 |
| $35-39$ | 557,721 | 867,514 |
| $40-44$ | 461,225 | 781,380 |
| $45-49$ | 384,029 | 667,259 |
| $50-54$ | 331,372 | 548,390 |
| $55-59$ | 263,131 | 424,619 |
| $60-64$ | 231,472 | 351,702 |
| $65-69$ | 171,950 | 285,196 |
| $70-74$ | 139,544 | 216,227 |
| $75-79$ | 96,984 | 137,857 |
| $80+$ | 104,780 | 134,881 |
| Total | $8,413,601$ | $10,845,002$ |


| Age-specific death rate |  |  |
| :---: | :---: | :---: |
| Age group | PE | RS |
| $0-4$ | 0.0045 | 0.0026 |
| $5-9$ | 0.0003 | 0.0002 |
| $10-14$ | 0.0004 | 0.0003 |
| $15-19$ | 0.0014 | 0.0008 |
| $20-24$ | 0.0022 | 0.0014 |
| $25-29$ | 0.0025 | 0.0015 |
| $30-34$ | 0.0025 | 0.0016 |
| $35-39$ | 0.0030 | 0.0021 |
| $40-44$ | 0.0045 | 0.0031 |
| $45-49$ | 0.0057 | 0.0050 |
| $50-54$ | 0.0080 | 0.0075 |
| $55-59$ | 0.0115 | 0.0116 |
| $60-64$ | 0.0147 | 0.0160 |
| $65-69$ | 0.0252 | 0.0247 |
| $70-74$ | 0.0333 | 0.0373 |
| $75-79$ | 0.0547 | 0.0628 |
| $80+$ | 0.1166 | 0.1306 |
| CDR (\%o) | 6.20 | 6.56 |

Source: Brazilian Health Ministry (DATASUS). Data for the states of Pernambuco (PE) and Rio Grande do Sul (RS), 2005.

## Example of standardization

 (2/4)| Age group | PE population <br> $(\%)$ | RS population <br> $(\%)$ | Ratio <br> PE / RS |
| :---: | :---: | :---: | :---: | :---: |
| $0-4$ | 10.07 | 8.42 | 1.20 |
| $5-9$ | 10.11 | 8.72 | 1.16 |

Source: Brazilian Health Ministry (DATASUS). Data for the states of Pernambuco (PE) and Rio Grande do Sul (RS), 2005.

## Example of standardization (3/4)



- PE has a younger population than RS
- This is causing
$C_{D R}$ PE $<\mathrm{CDR}_{\mathrm{RS}}$

Source: Brazilian Health Ministry (DATASUS). Data for the states of Pernambuco (PE) and Rio Grande do Sul (RS), 2005.

## Example of standardization

## (4/4)

| Age group | PE <br> (standard population) | RS <br> (obsserved rates) | RS <br> (standardized deaths) |
| :---: | :---: | :---: | :---: |
| $0-4$ | 847,364 | 0.0026 | 2,173 |
| $5-9$ | 850,579 | 0.0002 | 185 |
| $10-14$ | 916,926 | 0.0003 | 281 |
| $15-19$ | 934,602 | 0.0008 | 768 |
| $20-24$ | 819,853 | 0.0014 | 1,128 |
| $25-29$ | 685,373 | 0.0015 | 1,050 |
| $30-34$ | 616,696 | 0.0016 | 995 |
| $35-39$ | 557,721 | 0.0021 | 1,158 |
| $40-44$ | 461,225 | 0.0031 | 1,427 |
| $45-49$ | 384,029 | 0.0050 | 1,917 |
| $50-54$ | 331,372 | 0.0075 | 2,499 |
| $55-59$ | 263,131 | 0.0116 | 3,041 |
| $60-64$ | 231,472 | 0.0160 | 3,706 |
| $65-69$ | 171,950 | 0.0247 | 4,254 |
| $70-74$ | 139,544 | 0.0373 | 5,205 |
| $75-79$ | 96,984 | 0.0628 | 6,093 |
| $80+$ | 104,780 | 0.1306 | 13,689 |
| Total | $8,413,601$ |  | 49,569 |

- $\mathrm{CDR}_{\text {PE original }}$
= 6.20 deaths per 1,000
- $C_{R R S}$ original
= 6.56 deaths per 1,000
- $\mathrm{CDR}_{\text {RS standardized }}$
= 49,569 / 8,413,601
= 5.89 deaths per 1,000

Source: Brazilian Health Ministry (DATASUS). Data for the states of Pernambuco (PE) and Rio Grande do Sul (RS), 2005.

## Period life tables

- Estimate overall mortality of population
- Assumption: age-specific rates for the period continue unchanged into the future
- Synthetic cohort: imaginary cohort of new born babies would experience a life table from a specific period
- Life expectancy: average age at death for a hypothetical cohort born in a particular year and being subjected to the risks of death experienced by people of all ages in that year


## Life table, U.S. women, 2010

|  |  |  |  |  | Of 100,000 people bo | ypothetical rn alive: | Number of | years lived | Expectation of life |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Age interval | Number of females in the population | Number of deaths in the population | Age-specific death rates in the interval | Probabilities of death (proportion of persons alive at beginning who die during interval | Number alive at beginning of interval | Number dying during age interval | In the age interval | In this and all subsequent age intervals | Average number of years of live remaining at beginning of age interval |
| $x$ to $x+n$ | ${ }_{n} P_{x}$ | ${ }_{n} D_{x}$ | ${ }_{n} M_{x}$ | ${ }_{n} q_{x}$ | $l_{x}$ | ${ }_{n} d_{x}$ | ${ }_{n} L_{x}$ | $T_{x}$ | $e_{x}$ |
| Under 1 | 1,976,387 | 11,503 | 0.00582 | 0.005791 | 100,000 | 579 | 99,508 | 8,098,622 | 81.0 |
| 1-4 | 7,905,548 | 1,976 | 0.00025 | 0.000999 | 99,421 | 99 | 397,445 | 7,999,114 | 80.5 |
| 5-9 | 9,959,019 | 1,095 | 0.00011 | 0.000550 | 99,322 | 55 | 496,471 | 7,601,670 | 76.5 |
| 10-14 | 10,097,332 | 1,313 | 0.00013 | 0.000650 | 99,267 | 65 | 496,173 | 7,105,199 | 71.6 |
| 15-19 | 10,736,677 | 3,436 | 0.00032 | 0.001599 | 99,202 | 159 | 495,615 | 6,609,025 | 66.6 |
| 20-24 | 10,571,823 | 4,757 | 0.00045 | 0.002247 | 99,044 | 223 | 494,662 | 6,113,410 | 61.7 |
| 25-29 | 10,466,258 | 5,652 | 0.00054 | 0.002696 | 98,821 | 266 | 493,440 | 5,618,747 | 56.9 |
| 30-34 | 9,965,599 | 6,876 | 0.00069 | 0.003444 | 98,555 | 339 | 491,925 | 5,125,308 | 52.0 |
| 35-39 | 10,137,620 | 10,138 | 0.00100 | 0.004988 | 98,215 | 490 | 489,852 | 4,633,382 | 47.2 |
| 40-44 | 10,496,987 | 17,005 | 0.00162 | 0.008067 | 97,725 | 788 | 486,656 | 4,143,531 | 42.4 |
| 45-49 | 11,499,506 | 29,094 | 0.00253 | 0.012570 | 96,937 | 1,219 | 481,639 | 3,656,874 | 37.7 |
| 90-94 | 1,023,979 | 165,495 | 0.16162 | 0.575549 | 29,621 | 17,048 | 105,484 | 148,164 | 5.0 |
| 95-99 | 288,981 | 78,398 | 0.27129 | 0.808265 | 12,573 | 10,162 | 37,458 | 42,680 | 3.4 |
| $100+$ | 44,202 | 20,403 | 0.46159 | 1.000000 | 2,411 | 2,411 | 5,222 | 5,222 | 2.2 |

## Probability of dying $\left({ }_{n} q_{x}\right)$

- Need to convert age-specific death rates $\left({ }_{n} M_{x}\right)$ to probabilities of dying ( ${ }_{n} q_{x}$ )
- Probability of death relates the number of deaths during any given number of years to the number of people who started out being alive and at risk of dying

$$
{ }_{n} q_{x}=(n)\left({ }_{n} M_{x}\right) / 1+(a)(n)\left({ }_{n} M_{x}\right)
$$

- (a)(n): average years lived per person by people dying in the interval. $a=0.5$ implies that deaths are distributed evenly over an age interval. For $0-1$ age, $a=0.85$. For $1-4$ age, $a=0.60$.
- For last group, $q=1.0$.


## Number of deaths $\left({ }_{n} d_{x}\right)$ and alive ( $I_{x}$ )

- The life table assumes an initial population of 100,000 births (radix), which is subjected to the mortality schedule
- Radix can also be 1
- Number of people dying during age interval $\left({ }_{n} d_{x}\right)$ equals probability of death times number alive at beginning $\left(I_{x}\right)$

$$
{ }_{n} d_{x}=\left({ }_{n} q_{x}\right)\left(l_{x}\right)
$$

- Subtracting those who died in the previous age interval gives the number of people still alive at the beginning of next age interval

$$
I_{x+n}=I_{x}-{ }_{n} d_{x}
$$

## Number of years lived $\left({ }_{n} L_{x}\right)$

- Number of years lived $\left({ }_{n} L_{x}\right)$ has to consider that some people die before the end of the age interval
- The lower the death rates, more people will survive through an entire age interval

$$
{ }_{n} L_{x}=n\left(I_{x}-a_{n} d_{x}\right)
$$

- a: usually 0.5 , which implies that deaths are distributed evenly over an age interval. For $0-1$ age, $a=0.85$. For $1-4$ age, $a=0.60$.
- ${ }_{n} L_{x}$ for the oldest, open-age interval

$$
L_{100+}=I_{100} / M_{100}
$$

$-I_{100}$ : number of survivors to oldest age

- $M_{100}$ : death rate at the oldest age


## Cumulative number of years lived $\left(T_{x}\right)$

- Number of years lived are added up, cumulating from the oldest to the youngest ages
- Total number of years lived in a given age interval and all older age intervals ( $T_{x}$ )

$$
T_{x}=T_{x+n}+{ }_{n} L_{x}
$$

- At the oldest age, $T_{x}$ equals ${ }_{n} L_{x}$


## Life expectancy ( $e_{x}$ )

- Expectation of life is the average remaining lifetime
- It is the total years remaining to be lived at exact age x
- Division of total number of years lived $\left(T_{x}\right)$ by number of people alive at that exact age $\left(I_{x}\right)$

$$
e_{x}=T_{x} / I_{x}
$$

- This index summarizes the level of mortality prevailing in a given population at a particular time


## Probability of surviving $\left(p_{x}\right)$

- Probability of surviving from birth to age $x$ is designated $p_{x}$

$$
p_{x}=I_{x} / I_{0}
$$

- We can also estimate the probability of surviving from one particular age group to the subsequent age group


## Crude death and birth rates

- Crude death rate (CDR) equals total number of deaths $\left(I_{0}\right)$ divided by total population ( $\mathrm{T}_{0}$ )
- Crude birth rate (CBR) equals total number of births $\left(I_{0}\right)$ divided by total population ( $\mathrm{T}_{0}$ )

$$
C D R=C B R=I_{0} / T_{0}=1 /\left(T_{0} / I_{0}\right)=1 / e_{0}
$$

## Alternative interpretations

- Synthetic cohort (history of a hypothetical cohort)
- Lifetime mortality experience of a single cohort of newborn babies, who are subject to specific age-specific mortality rates
- Used in public health/mortality studies, calculation of survival rates for estimating population, fertility, net migration...
- Stationary population
- Results from unchanging schedule of age-specific mortality rates and a constant annual number of births/deaths (radix)
- Used in the comparative measurement of mortality and in studies of population structure


## Same interpretation

- $x$ to $x+n$
- Period of life between two exact ages
- For instance, 20-25 means the 5-year interval between the $20^{\text {th }}$ and $25^{\text {th }}$ birthdays
- ${ }_{n} q_{x}$
- Proportion of persons in the cohort alive at the beginning of an indicated age interval ( $x$ ) who will die before reaching the end of that age interval $(x+n)$
- Probability that a person at his/her $x^{\text {th }}$ birthday will die before reaching his/her $\mathrm{x}+\mathrm{n}^{\text {th }}$ birthday
- $e_{x}$ (life expectancy)
- Average remaining lifetime (in years) for a person who survives to the beginning of the indicated age interval

$$
I_{x}
$$

- Synthetic cohort
- Number of persons living at the beginning of the indicated age interval ( $x$ ) out of the total number of births assumed as the radix of the table
- Stationary population
- Number of persons who reach the beginning of the age interval each year


## ${ }_{n} \mathrm{~d}_{\mathrm{x}}$

- Synthetic cohort
- Number of persons who would die within the indicated age interval ( $x$ to $x+n$ ) out of the total number of births assumed in the table
- Stationary population
- Number of persons that die each year within the indicated age interval

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## ${ }_{n} L_{x}$

- Synthetic cohort
- Number of person-years that would be lived within the indicated age interval ( $x$ to $x+n$ ) by the cohort of 100,000 births assumed
- Stationary population
- Number of persons in the population who at any moment are living within the indicated age interval


## $T_{x}$

- Synthetic cohort
- Total number of person-years that would be lived after the beginning of the indicated age interval by the cohort of 100,000 births assumed
- Stationary population
- Number of persons in the population who at any moment are living within the indicated age interval and all higher age intervals


## Interpretation as stationary population

ABRIDGED LIFE TABLE FOR THE FEMALE POPULATION OF THE UNITED STATES: 2007

|  |  |  | He remale pop <br> Of 100,000 born alive <br> Stationary population |  |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Age group | Width | $\begin{gathered} \text { Population } \\ \mathrm{nPx} \end{gathered}$ | Deaths nDx | Age-specific death rates nMx | $\underset{\mathrm{nqx}}{\text { Proportion dying }}$ | \# living at beginning of interval | \# dying during interval | In the age interval nLx |  | In this and following ages $T x$ | Average remaining lifetime ex |
| 0 | 1 | 1,998,761 | 12,845 | 0.0064 | 0.0064 | 100,000 | 641 | 99,684 |  | 8,103,588 | 81.0 |
| 1-4 | 4 | 8,109,371 | F 2,069 | 0.0003 | 0.0010 | 99,359 | 101 | 397,248 | $\cdots$ | 8,003,904 | 80.6 |
| 5-9 | 5 | 9,720,587 | 1,192 | 0.0001 | 0.0006 | 99,258 | 61 | 496,150 |  | 7,606,656 | 76.6 |
| 10-14 | 5 | 9,918,543 | 1,370 | 0.0001 | 0.0007 | 99,197 | 68 | 495,828 |  | 7,110,506 | 71.7 |
| 15-19 | 5 | 10,617,178 | 3,741 | 0.0004 | 0.0018 | 99,129 | 175 | 495,242 |  | 6,614,678 | 66.7 |
| 20-24 | 5 | 10,073,754 | 4,925 | 0.0005 | 0.0024 | 98,954 | 242 | 494,215 |  | 6,119,436 | 61.8 |
| 25-29 | 5 | 10,122,681 | 5,824 | 0.0006 | 0.0029 | 98,713 | 284 | 492,910 |  | 5,625,222 | 57.0 |
| 30-34 | 5 | 9,469,789 | 6,956 | 0.0007 | 0.0037 | 98,429 | 361 | 491,314 |  | 5,132,312 | 52.1 |
| 35-39 | 5 | 10,666,827 | 11,126 | 0.0010 | 0.0052 | 98,068 | 510 | 489,165 |  | 4,640,998 | 47.3 |
| 40-44 | 5 | 11,155,652 | 18,375 | 0.0016 | 0.0082 | 97,558 | 800 | 485,944 |  | 4,151,834 | 42.6 |
| 45-49 | 5 | 11,572,428 | F 29,834 | 0.0026 | 0.0128 | 96,757 | 1,240 | 480,926 |  | 3,665,890 | 37.9 |
| 50-54 | 5 | 10,709,011 | - 40,396 | 0.0038 | 0.0187 | 95,518 | 1,786 | 473,463 |  | 3,184,963 | 33.3 |
| 55-59 | 5 | 9,339,919 | F 50,868 | 0.0054 | 0.0269 | 93,732 | 2,521 | 462,827 |  | 2,711,501 | 28.9 |
| 60-64 | 5 | 7,636,068 | F 62,624 | 0.0082 | 0.0402 | 91,211 | 3,670 | 447,543 |  | 2,248,674 | 24.7 |
| 65-69 | 5 | 5,725,079 | F 74,499 | 0.0130 | 0.0631 | 87,541 | 5,528 | 424,827 | F | 1,801,131 | 20.6 |
| 70-74 | 5 | 4,738,379 | F 96,395 | 0.0203 | 0.0971 | 82,012 | 7,962 | 391,395 | F | 1,376,304 | 16.8 |
| 75-79 | 5 | 4,314,403 | - 139,360 | 0.0323 | 0.1500 | 74,050 | 11,109 | 343,929 | F | 984,910 | 13.3 |
| 80-84 | 5 | 3,582,388 | F 192,519 | 0.0537 | 0.2378 | 62,941 | 14,970 | 278,566 | $\cdots$ | 640,981 | 10.2 |
| $85+$ | --- | 3,511,395 | F 464,781 | 0.1324 | 1.0000 | 47,971 | 47,971 | 362,415 |  | 362,415 | 7.6 |

ABRIDGED LIFE TABLE FOR THE MALE POPULATION OF THE UNITED STATES: 2007
Stationary population

| Age group | Width <br> n | $\begin{gathered} \text { Population } \\ \mathrm{nPx} \end{gathered}$ | Deaths nDx | Age-specific death rates nMx | $\underset{\text { nqx }}{\text { Proportion dying }}$ | \# living at beginning of interval Ix | \# dying during interval | In the age interva nLx |  | In this and following ages Tx | Average remaining lifetime ex |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 0 | 1 | 2,079,846 | 16,293 | 0.0078 | 0.0078 | 100,000 | 780 | 99,615 |  | 7,582,342 | 75.8 |
| 1-4 | 4 | 8,507,893 | F 2,634 | 0.0003 | 0.0012 | 99,220 | 123 | 396,648 | $\stackrel{\rightharpoonup}{*}$ | 7,482,726 | 75.4 |
| 5-9 | 5 | 10,095,353 | F 1,519 | 0.0002 | 0.0008 | 99,097 | 75 | 495,313 |  | 7,086,078 | 71.5 |
| 10-14 | 5 | 10,484,813 | F 2,066 | 0.0002 | 0.0010 | 99,022 | 98 | 494,887 |  | 6,590,765 | 66.6 |
| 15-19 | 5 | 11,252,863 | - 9,558 | 0.0008 | 0.0042 | 98,925 | 419 | 493,658 |  | 6,095,878 | 61.6 |
| 20-24 | 5 | 10,828,130 | F 15,758 | 0.0015 | 0.0073 | 98,505 | 714 | 490,881 |  | 5,602,220 | 56.9 |
| 25-29 | 5 | 10,489,470 | 15,107 | 0.0014 | 0.0072 | 97,791 | 702 | 487,338 |  | 5,111,340 | 52.3 |
| 30-34 | 5 | 9,802,132 | F 14,685 | 0.0015 | 0.0075 | 97,089 | 725 | 483,776 |  | 4,624,002 | 47.6 |
| 35-39 | 5 | 10,684,227 | 19,755 | 0.0018 | 0.0092 | 96,364 | 887 | 479,777 |  | 4,140,226 | 43.0 |
| 40-44 | 5 | 11,085,591 | 30,350 | 0.0027 | 0.0136 | 95,477 | 1,299 | 474,390 |  | 3,660,450 | 38.3 |
| 45-49 | 5 | 11,318,167 | 47,904 | 0.0042 | 0.0210 | 94,179 | 1,974 | 466,332 |  | 3,186,060 | 33.8 |
| 50-54 | 5 | 10,313,298 | 66,552 | 0.0065 | 0.0318 | 92,205 | 2,931 | 454,237 |  | 2,719,728 | 29.5 |
| 55-59 | 5 | 8,790,943 | 81,590 | 0.0093 | 0.0454 | 89,274 | 4,055 | 436,954 |  | 2,265,491 | 25.4 |
| 60-64 | 5 | 6,979,426 | 92,028 | 0.0132 | 0.0640 | 85,218 | 5,451 | 413,393 |  | 1,828,537 | 21.5 |
| 65-69 | 5 | 5,003,042 | F 100,492 | 0.0201 | 0.0959 | 79,767 | 7,651 | 380,904 |  | 1,415,144 | 17.7 |
| 70-74 | 5 | 3,889,104 | F 117,852 | 0.0303 | 0.1414 | 72,116 | 10,196 | 336,467 |  | 1,034,240 | 14.3 |
| 75-79 | 5 | 3,192,676 | F 149,669 | 0.0469 | 0.2107 | 61,920 | 13,046 | 278,295 | - | 697,773 | 11.3 |
| 80-84 | 5 | 2,235,826 | F 171,134 | 0.0765 | 0.3220 | 48,874 | 15,739 | 205,629 | * | 419,478 | 8.6 |
| 85+ | --- | 1,606,146 | F 248,866 | 0.1549 | 1.0000 | 33,135 | 33,135 | 213,850 |  | 213,850 | 6.5 |

Source: Formulas from Kintner (2003); Population data from 2007 ACS; Death data from CDC ((http://www.cdc.gov/nchs/data/dvs/mortfinal2007_worktable310.pdf).

## Population, U.S., 2007



## nLx from previous life tables, U.S., 2007

## Typical shapes of lifetable functions






## Problems with life tables

- We saw life tables based on complete empirical data
- We might experience some issues
- Have partial information to build our life table
- Have data for only some age groups
- Information for some ages may be more reliable than for other ages
- Have ideas about mortality level, but not a full life table to make projections
- We can use model life tables to solve these issues


## Model life tables

- A life table constructed from mathematical formulas is called a model life table
- Use mathematical formulas to fill in missing parts
- Have a whole life table from partial information
- Identify suspicious and poor quality data with model expectations
- Supply standard assumptions for projections
- Find regularities for the invention of indirect measures
- Reconstruct rates from historical counts of births and deaths (inverse projection)


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